

Public Document Pack

Date of meeting Tuesday, 12th June, 2012
Time 7.00 pm
Venue Civic Offices, Merrial Street, Newcastle-under-Lyme, Staffs ST5 2AG
Contact Louise Stevenson ext 2250

SUPPLEMENTARY INFORMATION Transformation and Resources Overview and Scrutiny Committee

AGENDA

PART 1- OPEN AGENDA

5a Workplan Covering Report (Pages 1 - 4)

Members: Councillors Burgess, Eastwood, A Fear, S Hambleton, T Hambleton, A Heames (Vice-Chair), A Howells, T Lawton, Peers, E Shenton (Chair), Stringer, P Waring and S White

'Members of the Council: If you identify any personal training / development requirements from the items included in this agenda or through issues raised during the meeting, please bring them to the attention of the Committee Clerk at the close of the meeting'

Officers will be in attendance prior to the meeting for informal discussions on agenda items.

This page is intentionally left blank

PLANNING A WORK PROGRAMME

Submitted by: Scrutiny Officer – Louise Stevenson

Portfolio: Finance and Budget Management & Communications,
Transformation and Partnerships

Ward(s) affected: Non-specific

Purpose of the Report

To assist the Committee in the development of a work programme for the 2012-13 municipal year.

Recommendations

- (a) That the Committee identify topics for scrutiny activity within its work programme for the 2012-13 municipal year.
- (b) That the timetabling of items and any amendments or additions to the work programme be agreed by the Chair of the Committee.

Reasons

It is important that Members develop a realistic and flexible work programme for overview and scrutiny which contributes to the Council's priorities and provides opportunities to hold decision-makers to account.

1. Background

During 2011-12, the Transformation and Resources Committee focused on budget and performance management, ICT, and the Constitution.

This report asks the Committee to identify areas for inclusion within its work programme for the 2012-13 municipal year.

2. What activity should be included in a work programme?

The Centre for Public Scrutiny has identified four principles which are generally accepted as forming the basis of overview and scrutiny activity. These principles are:

- **Provide 'critical friend' challenge to Executives, as well as external authorities and agencies**
- **Reflect the voice and concerns of the public and its communities**
- **Take the lead and own the scrutiny process on behalf of the public**
- **Make an impact on the delivery of public services.**

These principles will form the basis of our evaluation of the effectiveness of the overview and scrutiny arrangements.

The overview and scrutiny committees will be responsible for setting their own work programme and in doing so they shall take into account the wishes of Members on that committee.

The overview and scrutiny committees will also respond, as soon as their work programme permits, to requests from the Overview and Scrutiny Co-ordinating Committee, the Council and/or the Cabinet to review particular areas of Council activity. Where they do so, the overview and scrutiny committees shall report their findings and any recommendations back to the Cabinet and/or Council within 2 months of receiving it or as soon as is reasonably practical.

Once it has formed recommendations on proposals for development, the overview and scrutiny committee will prepare a formal report and the Chief Executive will submit it for consideration by the Cabinet (if the proposals are consistent with the existing budgetary and policy framework), or to the Council as appropriate (e.g. if the recommendation would require a departure from or a change to the agreed budget and policy framework)

The Council or Cabinet shall consider the report of the overview and scrutiny committee within two months of it being submitted to the Chief Executive or as soon as is reasonably practical

From time to time, ad hoc recommendations to the Cabinet may arise from discussion at meetings of the overview and scrutiny committees. These recommendations will be passed directly to the relevant Portfolio Holder who will be required to respond to the relevant overview and scrutiny committee within two months, or as soon as is reasonably practicable. The Portfolio Holder will invite the relevant overview and scrutiny committee Chair or Vice Chair to a meeting of the Cabinet to explain the reasons for the recommendation.

Overview and scrutiny committees may make proposals to the Cabinet for developments insofar as they relate to matters within their terms of reference

Overview and scrutiny committees may hold inquiries and investigate the available options for the future direction of the Council and may appoint advisers and assessors to assist them in this process. They may go on site visits, conduct public surveys, hold public meetings, commission research and do all other things that they reasonably consider necessary to inform their deliberations. They may ask witnesses to attend to address them on any matter under consideration.

3. Performance Management and Review

Overview and scrutiny has a key role to play in helping to improve the performance of the Council, for example by looking at performance data, achievements against performance indicators or targets and also scrutinising external inspection reports. The Council's performance management framework provides a quarterly cycle of reporting of performance information to the Cabinet. Overview and scrutiny therefore has a performance monitoring and review role in respect of agreed action plans and can make recommendations thereon.

4. Holding the Cabinet to Account – this comprises two key aspects:

- Scrutinising proposed decisions by the Cabinet collectively or individually through “call-in” procedures as specified in the Constitution
- Scrutinising the impact of decisions taken after they have been implemented.

5. External Challenge

The Local Government and Public Involvement in Health Act 2007 provides for a local authority's overview and scrutiny arrangements to develop a strong outward focus, encouraging participation from partners and the public. One aspect of this is external scrutiny – scrutinising the work and impact of external agencies on a Council's community. There may be other public agencies, such as health service organisations or voluntary and private sector organisations, which will also come under this activity.

The above encapsulate opportunities to work closely with and involve the Cabinet, officers, partner agencies and authorities, the public and its communities in overview and scrutiny activity whilst demonstrating how the function can contribute to improving both public services and the quality of life of our various communities.

6. Topics for the Work Programme

Having regard to the information above, it may be useful for Members to be reminded of the service areas which come under the remit of this Committee:

- | | |
|--|---|
| <ul style="list-style-type: none">• Accountancy• Budget | <hr/> <ul style="list-style-type: none">• Revenues and Benefits |
| <ul style="list-style-type: none">• Capital and Revenue Expenditure | <ul style="list-style-type: none">• Efficiency Savings & Financial Monitoring |
| <ul style="list-style-type: none">• Communications and Consultation | <ul style="list-style-type: none">• Procurement |
| <ul style="list-style-type: none">• Council Structure and Democratic Framework | <ul style="list-style-type: none">• Treasury Management |
| <ul style="list-style-type: none">• Council Tax | <ul style="list-style-type: none">• Human Resources |
| <ul style="list-style-type: none">• Customer Services | <ul style="list-style-type: none">• ICT |
| <ul style="list-style-type: none">• Workforce Development | <ul style="list-style-type: none">• Legal Services |
| <ul style="list-style-type: none">• Putting People First | <ul style="list-style-type: none">• Management of Land & Property Assets |
| <ul style="list-style-type: none">• Risk | <ul style="list-style-type: none">• Member Development Panel |
| | <ul style="list-style-type: none">• Performance Management and Monitoring |
| | <ul style="list-style-type: none">• Strategic Partnerships: Newcastle Partnership |
| | <ul style="list-style-type: none">• Transformation Programme |

Overview and scrutiny should always focus its activity on areas which are the most important to local people and the Council and which will have the greatest impact.

7. The Role of this Committee

The role of this Committee is somewhat different to the other themed overview and scrutiny committees in that its focus rests solely on the activities of this Council. The other committees offer clearer opportunities to examine the operation and decision-making of partner agencies and external authorities, whereas this Committee is only interested in the resources and transformation of the Council. Therefore it is appropriate for this Committee to receive regular performance and financial monitoring reports. These reports should be received as joint reports so that the correlation between spend on services and actual performance is clear to see. In the event of there being performance issues arising from the report, the Committee could refer the matter to another themed committee if it related to a service which was not appropriate to its role or it could examine, however if the matter involved financial issues as well as performance issues then it would be most appropriate for this Committee to examine the issue further. This is a matter for the Committee and it would be prudent to seek advice from your officers at the meeting.

Other areas of activity and interest will develop over the course of the year and it is wise to build in some flexibility to the work programme of the Committee to ensure that it is able to meet the challenges that lie ahead. Having a realistic and flexible work programme is necessary to being successful in scrutiny. The Committee may have to consider decisions that are called-in or may determine to examine a proposed decision that has been published in the Forward Plan by the Cabinet – this is known as pre-decision scrutiny and enables Members to influence decisions before they have been taken.

8. What Happens Next?

In the event of the Committee identifying and agreeing areas for inclusion within the work programme, the Chair of the Committee will work with Member Services and consult the Portfolio Holders and Executive Directors on the timetabling of items for the work programme, having regard to the dates of meetings that have been arranged. It should be noted that further meetings will be arranged if required to meet the workload of the Committee. The list of Council meetings should not be a predicator for overview and scrutiny activity.

If the Committee determines to establish a working group, Members are reminded that the members of scrutiny working groups do not necessarily need to be members of the 'parent' committee, nor do they need to reflect political balance, but they do have to consist of non-Executive Members of the Council.

9. Summary

This report highlights the kind of Overview and Scrutiny activities that the Committee should be undertaking and also highlights a number of areas which the committee may wish to examine over the coming year. It is important to secure the development of Overview and Scrutiny and, more importantly, the involvement of Members in issues surrounding the authority's performance, finances and transformation agenda that a clear, flexible and realistic work programme is agreed.

10. Outcomes Linked to Corporate Priorities

It is envisaged that the activity of the overview and scrutiny committees will lead to improved outcomes which support the overall priorities of the Council.